

DEC 20 2004

FAX TRANSMISSION**DATE:** December 20, 2004**PTO IDENTIFIER:** Application Number 10/709,329-Conf. #3328
Patent Number**Inventor:** Earl Rotman et al.**MESSAGE TO:** US Patent and Trademark Office
Examiner Nga B. Nguyen - Group Art Unit 3628**FAX NUMBER:** (703) 872-9326**FROM:** DARBY & DARBY P.C.

Richard J. Katz

PHONE: (212) 527-7700**Attorney Dkt. #:** 20107/1200838-US1**PAGES (Including Cover Sheet):** 16**CONTENTS:** Second Supplemental Amendment After Non -Final Office Action (5 pages), including
Attachment A (Drawings Replacement Sheet, 8 sheets, Figures 1-8)

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Application No. (if known): 10/709,329

Attorney Docket No.: 20107/1200838-US1

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Second Supplemental Amendment After Non-Final Office Action (5 pages)
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Customer No.: 07278
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File No. 20107/1200838-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Earl ROTMAN et al.

Confirmation No. 3328

Serial No.: 10/709,329

Group Art Unit: 3628

Filed: April 28, 2004

Examiner: Nga B. Nguyen

For: SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS

December 20, 2004

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL AMENDMENT AFTER NON-FINAL OFFICE ACTION

Sir:

Applicant submits this Second Supplemental Amendment and requests entry of same in the present application.

Amendments to the Drawings begin on page 2 of this paper and include **Attachment A**, which contains replacement drawing sheets.

Remarks begin on page 3 of this paper.

Serial No.: 10/709,329

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